

CORRECTED 1449
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| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | | | Application Number | 09/673,889- 10/690400 |
| | | | | Filing Date | October 11, 2000 |
| | | | | First Named Inventor | Lubisch et al. |
| | | | | Group Art Unit | 1624 |
| | | | | Examiner Name | Richard L. Raymond |
| Sheet | 1 | of | 3 | Attorney Docket No. | ABB10010P0381US |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|-----------------------|------------------------------------------|--------------------------------|----------------------------------------------------|-----------------------------------------------------------------------------|
| Examiner Initials* | Cite No. ¹ | U.S. Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear |
| | | Number Kind Code ² (if known) | | | |
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| | ✓ | DE 196 42 521 A 1 (BASF) 591 | 04-16-98 | | | |

Note: No Sheet 2

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| Substitute for form 1449A/PTO <div style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</div> <div style="text-align: center;">(Use as many sheets as necessary)</div> | | | | Application Number <div style="text-align: right;">09/673,989 10/690400</div> | |
| | | | | Filing Date <div style="text-align: right;">October 11, 2000</div> | |
| | | | | First Named Inventor <div style="text-align: right;">Lubisch et al.</div> | |
| | | | | Group Art Unit <div style="text-align: right;">1624</div> | |
| | | | | Examiner Name <div style="text-align: right;">Richard L. Raymond</div> | |
| Sheet | 3 | of | 3 | Attorney Docket No. <div style="text-align: right;">ABB10010P0381US</div> | |

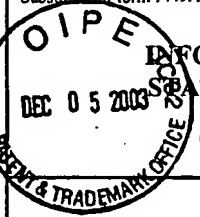
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| Examiner Initials* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
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| Examiner Signature | | <div style="font-family: cursive; font-size: 1.2em;">F Bernhardt</div> | Date Considered <div style="text-align: right; font-size: 1.2em;">1/7/07.</div> |

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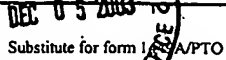
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| Substitute for form 1449A/PTO | | Application Number | 10/690,400 |
|  <p>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)</p> | | Filing Date | October 20, 2003 |
| | | First Named Inventor | Lubisch et al. |
| | | Group Art Unit | 1624 |
| | | Examiner Name | Richard L. Raymond |
| | | Attorney Docket No. | ABB10010P0381US |
| Sheet | 1 | of | 3 |

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| | | Country Code ² -Number ³ -Kind Code ⁵ (if known) | | | | |
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| Application Number | 10/690,400 |
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| First Named Inventor | Lubisch et al. |
| Group Art Unit | 1624 |
| Examiner Name | Richard L. Raymond |
| Attorney Docket No. | ABB10010P0381US |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | | | | | |
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| Examiner Initials* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² | | |
| | | BURKHARDT, Oxidation of α-Hydroxy Esters to α-Keto Esters Using the Dess-Martin Periodinane Reagent, Tetrahedron Letters, Volume 29, No. 28, pages 3433 to 3436, 1988 | | | |
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